



Enterprise **CONTROLS** Review

CONSULTING SERVICE INTRODUCTION

| Governance | Risk | Compliance

| Framework | Control



VentureStep

PART OF  THE CONEXUS GROUP



Specialist **Consulting and M&A Advisory** focused on the Global **Fintech** Market



Consulting

VentureStep is results led.
Our services result in corporate value.
Helping you scale & transform while minimising risk.

M&A Advisory

We identify opportunities and create value,
through a specialist practice focused on Fintech.

Specialist **Risk Governance, Compliance & Financial Crime** consulting services focused on the global Fintech, Payments & Gaming industries.

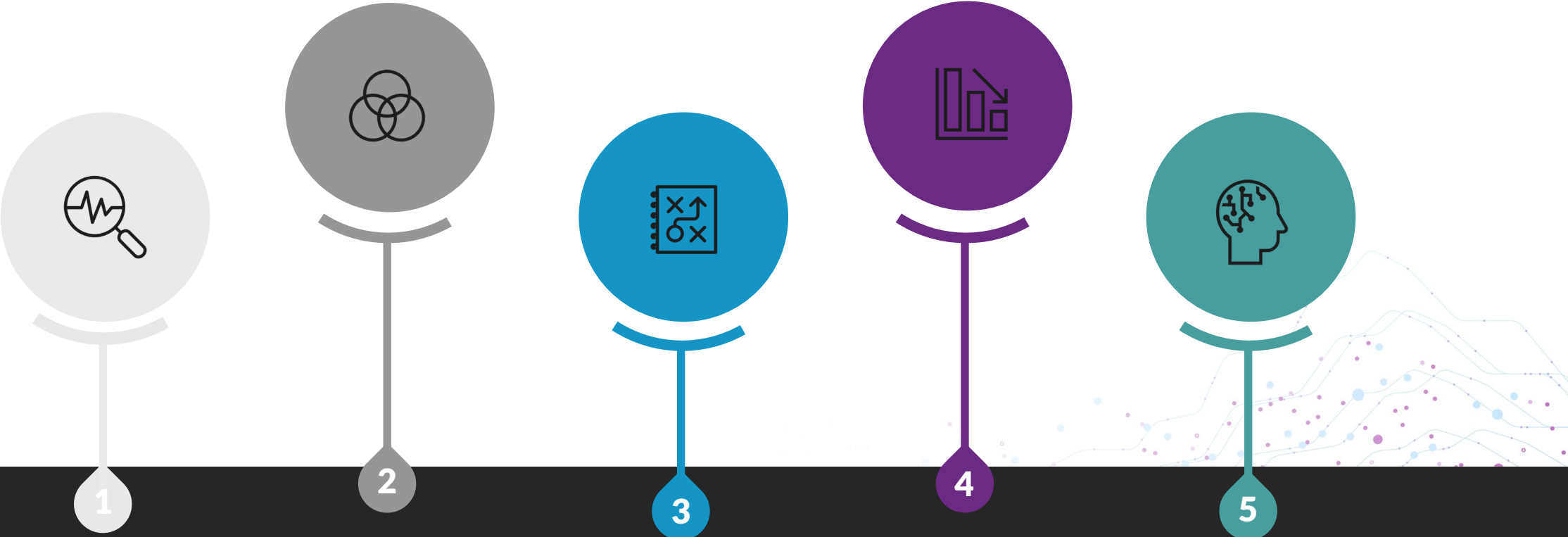
| Risk Governance |

Establish superior Risk Control frameworks to enable balanced business growth and cost optimisation.

| Compliance & Financial Crime |

Identify and deliver Compliance programmes & Financial Crime solutions in an ever-changing regulatory landscape.

Scope & Importance



1
Conduct enterprise-wide reviews of Governance routines, Risk Control frameworks & Compliance programmes.

2
Challenge & identify weaknesses & undertake remediation solutions.

3
Design & implement scalable policies & procedures to satisfy all current & future regulatory requirements.

4
Balance operational efficiency with framework effectiveness to deliver optimal Client outcomes.

5
Establish management reporting & Governance protocols to support Client Risk Appetite & embed Risk Culture.

Covering Your Enterprise

Governance & Control

- Risk Culture
- Control Framework
- Organizational Design
- Policy Management
- External Audit Preparation
- Data, Dashboards & Reporting

Compliance

- Programme Creation & Optimization
- Programme Evaluations
- FCA / PRA Authorisations
- Policies & Procedures
- Training Solutions
- Environmental, Social & Governance

Financial Crime

- Programme Operationalization
- KYC / KYB
- AML & Sanction
- Verification (CDD / EDD)
- Fraud Risk Management
- IT Solutions

Cost

- Organizational Transformation
- Process Re-engineering
- Outsourcing Realisation
- IT Solutions & Automation
- Regulatory Penalties
- Risk & Financial Crime Losses

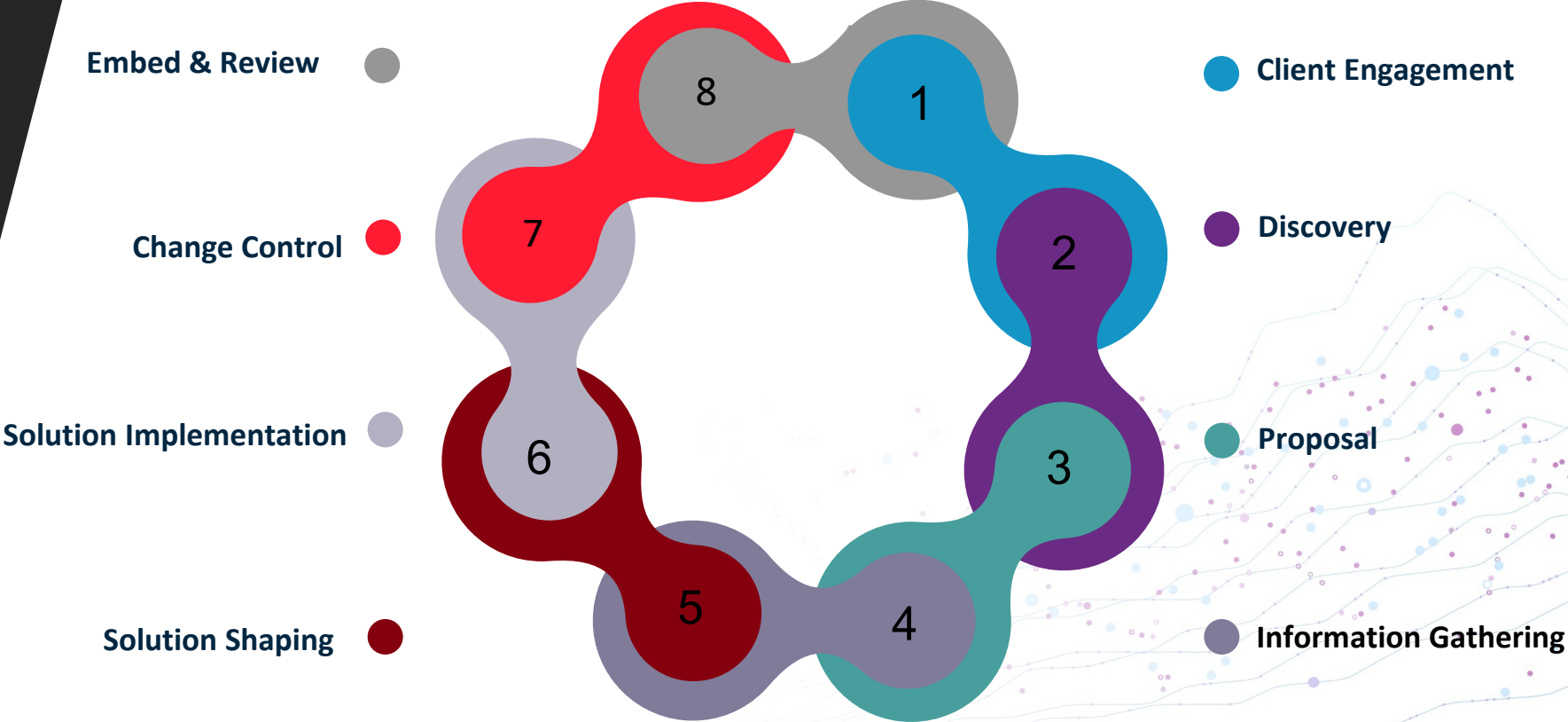
Our Engagement Model

Our service is flexible and agile: we deliver what's needed most.

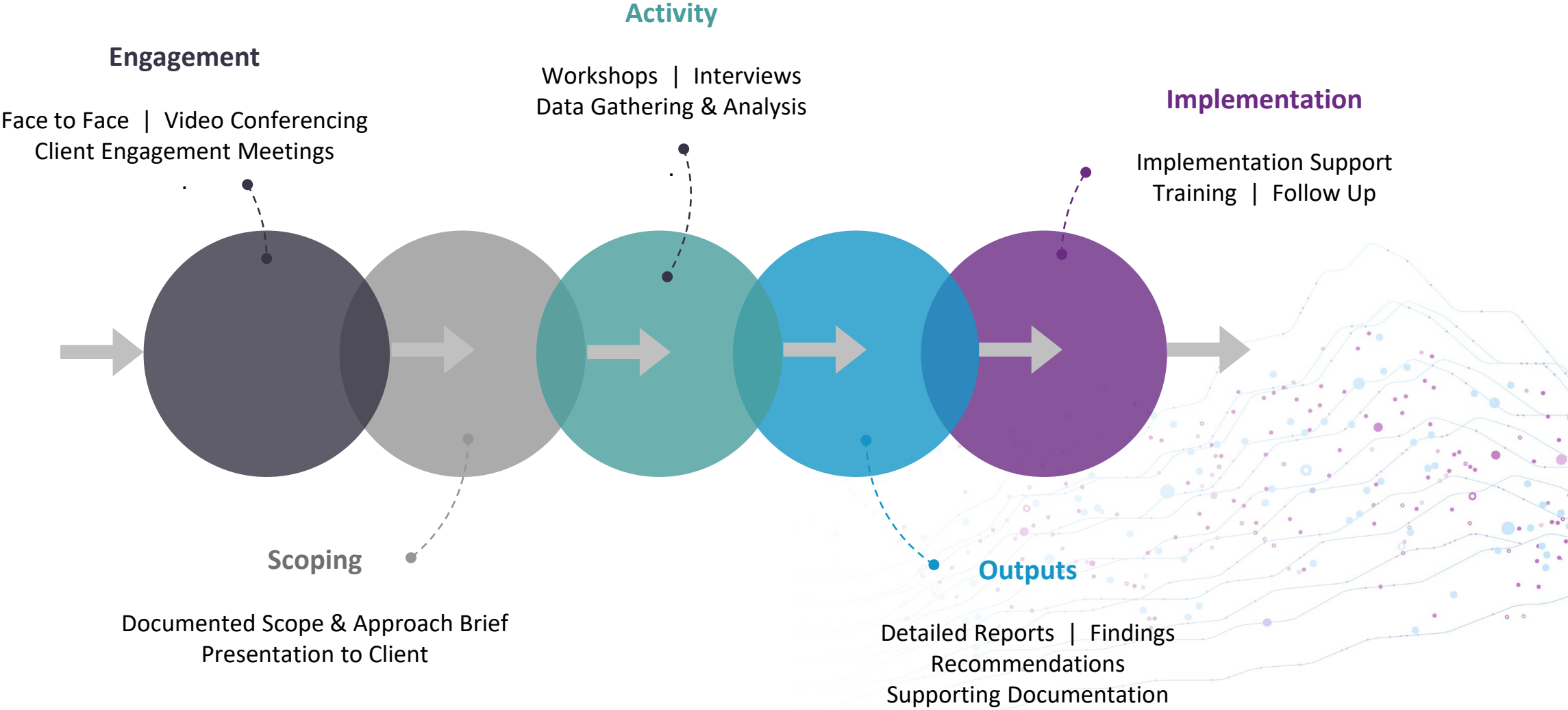


Snapshot Analysis or Triage	Discovery	Enterprise Controls Review	Managed Services
<p>Usually a short term consulting engagement driven by an adverse event impacting your business ranging from unexpected framework and policy failures to overlooked regulatory obligations requiring immediate remediation.</p>	<p>A standalone timebound version of the initial phase of the Enterprise Control Review designed to challenge your controls environment and associated programmes to identify gaps, determine business priorities and uncover opportunities.</p>	<p>Inclusive of the Discovery phase, this is the complete review of your controls environment and all associated programmes. The review secures deep knowledge of your end to end operating model so as to determine current and future business impacts and provide full and frank recommendations.</p>	<p>Based on our findings we are able to assist with ongoing reviews, services and the management of non-core activities. We have the reach to source talent with hard to find skill sets.</p>
<p>Outputs:</p> <ul style="list-style-type: none"> ➤ Immediate Assessment Report. ➤ Joint Action. 	<p>Outputs:</p> <ul style="list-style-type: none"> ➤ The Discovery Report. 	<p>Outputs:</p> <ul style="list-style-type: none"> ➤ Full Enterprise Controls Report. ➤ Recommendation & Remediation Plans. 	<p>Outputs:</p> <ul style="list-style-type: none"> ➤ As jointly agreed.

Our Lifecycle



How We Work



THANK YOU

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